

(19)



(11)

**EP 1 569 759 B1**

(12)

**EUROPEAN PATENT SPECIFICATION**

(45) Date of publication and mention  
of the grant of the patent:  
**13.02.2008 Bulletin 2008/07**

(51) Int Cl:  
**B05C 1/08 (2006.01) H01L 21/00 (2006.01)**

(21) Application number: **03773410.0**

(86) International application number:  
**PCT/CH2003/000791**

(22) Date of filing: **02.12.2003**

(87) International publication number:  
**WO 2004/050261 (17.06.2004 Gazette 2004/25)**

**(54) METHOD AND APPARATUS FOR CONTROL OF LAYER THICKNESSES****VERFAHREN UND VORRICHTUNG ZUR KONTROLLE DER SCHICHTDICKE****PROCEDE ET DISPOSITIF PERMETTANT DE CONTROLER LES EPAISSEURS D'UNE COUCHE**

(84) Designated Contracting States:  
**AT BE BG CH CY CZ DE DK EE ES FI FR GB GR  
HU IE IT LI LU MC NL PT RO SE SI SK TR**

(73) Proprietor: **OC Oerlikon Balzers AG**  
**9496 Balzers (LI)**

(30) Priority: **05.12.2002 US 431346 P**

(72) Inventor: **OU-YANG, Chieh**  
**Beverly Hills, CA 90210 (US)**

(43) Date of publication of application:  
**07.09.2005 Bulletin 2005/36**

(56) References cited:  
**EP-A- 0 840 357 WO-A-99/16019**  
**US-A- 5 487 127 US-A1- 2002 011 478**  
**US-B1- 6 174 651**

**EP 1 569 759 B1**

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

## Description

## FIELD OF THE INVENTION

[0001] This invention generally relates to the field of spin coating of substrates, especially to a method and apparatus for controlling the thickness distribution of the coating by controlling the temperature distribution of the coating on the substrate.

## BACKGROUND OF THE INVENTION

[0002] As is well known in the prior art, especially in the field of semiconductor manufacturing but also in certain areas of optics or biotechnology, a homogeneous distribution of liquids on a essentially plane substrate may be achieved by rotating (spinning) a substrate around an axis normal to the plane given by its surface. By applying a viscous liquid onto the surface during spinning centrifugal forces affect a distribution of the liquid radially outwards over the surface. Such "spinning" technique is used to disperse e. g. lacquer, resins, photo resist on semiconductor substrates. Moreover it is utilised in the production of optical data storage technology to provide an essentially homogeneous layer of resin, lacquer, adhesive etc.. A special case is the production of all type of DVD formats which require the bonding of two half-disks.

[0003] A standard process for such distribution method is:

- 1) Dispensing a liquid on the substrate to be coated; eventually rotating it slowly during this step to achieve a advantageous initial spreading.
- 2) Spinning the disk at high speed (typically a few hundred rpm up to 12.000 rpm) to homogeneously distribute the liquid.

The thickness of the layer depends on parameters such as viscosity, temperature, rotation speed and rotation time.

[0004] For substrates with a centre hole the profile of the spin coated layer thickness shows a low-high trend from the inner radius towards the outer edge. This is due to the fact that there is no liquid material at / close to the centre hole which could flow outwards. This lack of material causes the reduced thickness at small radii.

The variation of the thickness distribution therefore will not be reduced to a minimized level by standard spin coating process. In order to achieve an optimized coating condition, an extra treatment during spin coating process is required.

[0005] Moreover, for substrates without a centre hole it is difficult to achieve ramped thickness distributions, as would be of interest e. g. for photo resist coating thickness in mastering applications.

[0006] It is therefore desirable to have a method to influence the radial thickness distribution during the spin-

ning process. The radial thickness dependence of a liquid's thickness is dictated by the physics of the spinning process and cannot be avoided with radially constant viscosity of the liquid. The objective of the invention therefore is to provide a method for controlling the viscosity of the liquid to be distributed during spinning.

## DESCRIPTION OF PRIOR ART

[0007] In semiconductor manufacturing the use of cooling or heating chucks is widely known. Onto these chucks the semiconductor substrates, normally a wafer, are clamped and by thermal contact with the (heated or cooled) chuck the substrates' temperature is adjusted according to the needs of the particular processing step. However, such chucks seek to adjust temperatures homogeneously over the complete area of the substrate. A use and continuation of that principle is being shown in US 6,242,044 B1. This document describes a method to adjust the temperature of a CD radially from the centre to the edge. This is achieved in an embodiment with a central rotating shaft with a thereon mounted plate for carrying the CD.

The bottom of the shaft only is being actively cooled and via thermal conductivity a thermal gradient over the plate is being formed. The drawbacks of this method are as follows: The temperature gradient is being dependant on the material of the chuck and the environmental conditions such as ambient temperature. Moreover the system will need time to achieve a stable condition, i. e. the thermal gradient must develop.

Furthermore polycarbonate is a relatively good thermal insulator, therefore cooling and/or heating a liquid dispensed on the surface via the plastic substrate is inefficient.

## SUMINARY OF THE INVENTION

[0008] To influence the liquid's viscosity in a spin coating process, especially the resin layer thickness distribution of spin-coated substrates with a centre hole (e.g. optical disks like DVD, CD, Blu-Ray...etc.), a temperature gradient is created locally selectively before or during a spinning process by a heat source directed to the side of the substrate where the liquid is distributed on. Alternatively a cooling source can be used to achieve such a temperature gradient.

## DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

[0009] One embodiment of the invention comprises a stream of hot (temperature range 40-90°C) gas directed on the outer radius of the disk during spinning, in order to change the liquid's viscosity over the radius of the disk. In another embodiment, the stream of hot gas is directed via suitable means onto several areas of the substrate. Another embodiment allows to control temperature

and/or flow of gas selectively to precisely control the liquid's viscosity and thereby thickness distribution of the liquid on the spin coated substrate. "Gas" is to be understood in the broadest manner, such as simple air or cleaned gases provided from gas reservoirs. Heating of the gas may be achieved electrically via a resistance heating or other technical suitable means.

This thermal conditioning may in another embodiment of the invention be achieved by sources of electromagnetic radiation. This may comprise lamps with essential visible spectra or IR spectra.

[0010] In a further embodiment the invention's thermal source may comprise several sub sources, which can advantageously be directed to different locations of the substrate during spinning. This results in a control of thermal conditions in an essential circular region around the axis of rotation. Technically this may be achieved by e. g. a plurality of nozzles, directing heated or cooled gases to such regions of the substrate. Another variety is to use a plurality of lamps, such as IR radiators or halogen lamps, being arranged to aim at different locations of the substrate.

In case of gas nozzles, thermal conditioning of the substrate can easily be adjusted by regulating gas flow and/or temperature of the gas. In case of lamps this can be accomplished by regulating the power distributed to the respective lamp. For both cases the angle of the nozzle or lamp with respect to the plane of the substrate is a further parameter to fine-tune the thermal conditioning.

[0011] An apparatus suitable to implement the invention may comprise a rotatable support, dispensing means to spread a liquid on the surface of the substrate and means to fasten at least one thermal source in a position with respect to the substrate, where it can influence the thermal condition of the substrate. In one embodiment this fastening means may comprise a cover with the thermal source and, eventually, dispensing means affixed to it.

In a preferred embodiment the thermal sources are attached to an arm, which extends over at least a portion of the rotatable support. This arm, cover or in general fastening means may be movable in a way to clear the area of the substrate/support in order to load and unload the substrate from and to the support.

#### BRIEF DESCRIPTION OF THE DRAWINGS

##### [0012]

Figure 1 is a cross sectional view of a rotational support with a substrate.

Figure 2 shows an embodiment of the invention with an arm extending over the substrate with several thermal sources.

Figure 3 shows the result of an experiment according to the invention

#### DETAILED DESCRIPTION OF THE DRAWINGS

[0013] Figure 1 shows a substrate 1 on a support 2. The substrate has a centre hole which allows to centering it around a rotation axis 3. The arrow indicates the rotation of support and substrate. Driving means for rotation are not shown. During rotation excess liquid is being spinned outwards, shields 4 and 6 protect the environment from such excess liquid.

[0014] Figure 2 shows the embodiment of Figure 1 with a movable arm 11 with a source of radiation 10. The movability of arm 11 is indicated by arrows 12. As can easily be understood, the arm may be moved linearly, pivoted horizontally or vertically or in any other suitable manner to clear the area of substrate and support. This may be especially necessary when the substrate is being loaded or unloaded from the support. Loading and unloading mechanisms are not shown, they can be construed according to the state of the art. Arrows 13 indicate the effective direction of a plurality of thermal sub sources.

[0015] In an application of the inventive method in an apparatus according to the invention, substrate 1 is being placed by suitable means on support 2. Substrate 1 may be a semiconductor wafer, a datastorage media such as a CD, DVD or alike or any essentially flat workpiece. In a preferred embodiment arm 11 carries as well thermal sources as dispensing means, so after placing the arm above the substrate liquid could be dispensed. This way the liquid is being spread upon the surface of substrate 1, eventually while being rotated slowly. After spreading the liquid the spinning of the substrate is initiated and in parallel to or shortly after start of spinning the thermal conditioning begins. The conditioning time can be defined by precedent experiments, or alternatively contact free measurement means determine the end of the thermal conditioning.

[0016] Further on edge effects, like the build up of droplets at the edge of spin coated substrates can be avoided by selectively directing a thermal source at such edge.

[0017] Basically the thermal source(s) locally influence the viscosity of the liquid, such that a desired distribution, homogenous or a selectively inhomogenous, on the surface of the substrate is being achieved.

In another way of using the invention the order of steps may be changed, in a way, that the substrate is being preheated in the way described, the liquid being dispensed and spinned afterwards. This may be advantageous for applications, where e. g. mechanical, physical or chemical constraints do not allow the order described beforehand. In this case the thermal capacity of the substrate must be sufficient to hold the thermal gradient until the liquid's viscosity can be influenced.

[0018] The inventive method may be performed at a single process station, where the thermal source, dispensing means and rotatable means are being joined. However it may be beneficial to distribute the steps of thermal conditioning, dispensing and spinning to several

process modules.

[0019] Figure 3 shows results of a single heat source (flow of heated air) being directed to an outer region of an optical storage media during spin coating with resin. Comparison to an unconditioned sample shows significant improvement of layer homogeneity. In contrast to not heated substrates with monotone increasing thickness as a function of the radius, the thickness of the partially heated substrate decreases after reaching a maximum at a radius of about 35-45 mm.

The thermal gradient applied between different areas of a substrate was just a few degrees, in case of a bonding lacquer on a DVD half disk about 4° C. Depending on viscosity and other environmental conditions a thermal gradient of about 10° C is sufficient for most applications.

#### Claims

1. A method for distributing a viscous liquid over a surface of a substrate, comprising the steps:
  - placing a substrate essentially horizontal on a support
  - applying a viscous liquid onto a surface of said substrate
  - rotating the substrate to distribute the liquid radially outwards and
  - conditioning the liquid on the substrate thermally, to influence its viscosity locally in a specific way by creating a locally selective temperature gradient;
  - said thermal conditioning being effected by a thermal source of heat or cold placed above the surface of the substrate and directing a stream of heated or cooled gas or by a source of electromagnetic radiation to the substrate.
2. A method according to claim 1, wherein the source of radiation is a lamp with essentially visible spectra or an IR radiator.
3. A method according to claim 1, wherein the thermal source comprises at least two sub sources.
4. A method according to claim 3, wherein the sub-sources are directed to different positions with regard to the radius on the substrate.
5. Apparatus for thermal conditioning a liquid on a rotating substrate comprising:
  - a rotatable support,
  - dispensing means for a liquid to be distributed on the substrate surface and
  - fastening means for at least one thermal source placed above the substrate;
  - said thermal source adapted to create a locally

selective temperature gradient;

- Said thermal source being a stream of hot or cool gas, or one of at least a source of radiation, a lamp, an IR radiator.

6. Apparatus according to claim 5, wherein the fastening means comprise a cover, extending over at least a part of the support.
7. Apparatus according to claim 5, wherein the fastening means is construed as an arm, extending over at least a portion of the support.
8. Apparatus according to claim 5, wherein the dispensing means are mechanically affixed to the fastening means.
9. Apparatus according to claim 5, wherein the fastening means are movable with respect to substrate and support in order to remove the arm at least during loading and unloading of the substrate.
10. A method for distributing a viscous liquid over a surface of a substrate, comprising the steps:
  - rotating the substrate on an essentially horizontal support and
  - conditioning the substrate thermally, locally specific by creating a locally selective temperature gradient
  - said thermal conditioning being effected by a thermal source of heat or cold placed above the surface of the substrate and directing a stream of heated or cooled gas to the substrate.
  - applying a liquid onto a surface of said substrate
  - rotating the substrate such that the liquid is being radially distributed according to a desired distribution.

#### Patentansprüche

1. Verfahren für Verteilung einer viskosen Flüssigkeit über eine Fläche eines Substrates, umfassend die Schritte:
  - Anordnung eines Substrates im Wesentlichen horizontal auf einen Träger,
  - Aufbringung einer viskosen Flüssigkeit auf einer Fläche des Substrates,
  - Rotation des Substrates, um die Flüssigkeit radial nach außen zu verteilen, und
  - thermische Behandlung der Flüssigkeit auf dem Substrat, um ihrer Viskosität auf eine bestimmte Art und Weise durch Erzeugung eines lokal selektiven Temperaturgradienten lokal zu beeinflussen,

- wobei die thermische Behandlung durch eine thermische Quelle von Wärme oder Kälte, die über der Fläche des Substrates angeordnet ist, und durch das Richten eines erwärmten und gekühlten Gasstromes durch eine Quelle der elektromagnetischen Strahlung auf das Substrat erfolgt.
2. Verfahren gemäß Anspruch 1, dadurch gekennzeichnet, dass die Quelle der Strahlung eine Lampe mit hauptsächlich sichtbaren Spektren oder ein Infrarotdiodenstrahlungsquelle ist.
3. Verfahren gemäß Anspruch 1, dadurch gekennzeichnet, dass die thermische Quelle mindestens zwei Subquellen umfasst.
4. Verfahren gemäß Anspruch 3, dadurch gekennzeichnet, dass die Subquellen auf verschiedene Stellen bezüglich des Radius auf dem Substrat gerichtet sind.
5. Vorrichtung für die thermische Behandlung einer Flüssigkeit auf einem rotierbaren Substrat umfassend:
- einen drehbaren Träger,
  - Dosierungsmittel für eine Flüssigkeit, um diese auf der Substratoberfläche zu verteilen, und
  - Befestigungsmittel für mindestens eine thermische Quelle, die über das Substrat angeordnet ist;
  - wobei die thermische Quelle ausgebildet ist, um einen lokal selektiven Temperaturgradienten zu erzeugen;
  - und die thermische Quelle ein Strom von heißem oder kaltem Gas oder eine von mindestens einer Strahlungsquelle, wie eine Lampe oder ein Infrarotdiodenstrahlungsquelle, ist.
6. Vorrichtung gemäß Anspruch 5, dadurch gekennzeichnet, dass die Befestigungsmittel eine Abdeckung umfassen, die sich über mindestens einen Teil des Trägers erstreckt.
7. Vorrichtung gemäß Anspruch 5, dadurch gekennzeichnet, dass das Befestigungsmittel als ein Arm ausgebildet ist, der sich über mindestens einen Teil des Trägers erstreckt.
8. Vorrichtung gemäß Anspruch 5, dadurch gekennzeichnet, dass die Dosierungsmittel an den Befestigungsmitteln mechanisch befestigt sind.
9. Vorrichtung gemäß Anspruch 5, dadurch gekennzeichnet, dass die Befestigungsmittel bezüglich des Substrates und Trägers bewegbar sind, um den Arm mindestens während des Be- und Entladens

vom Substrat zu entfernen.

10. Verfahren für Verteilung einer viskosen Flüssigkeit über eine Fläche eines Substrates, umfassend die Schritte:

- Rotation des Substrates auf einem im Wesentlichen horizontalen Träger, und
- lokale spezifische, thermische Behandlung des Substrates durch Erzeugung eines lokal selektiven Temperaturgradienten,
- wobei die thermische Behandlung durch eine thermische Quelle von Wärme oder Kälte, die über der Fläche des Substrates platziert ist, und durch das Richten eines erwärmten oder gekühlten Gasstromes auf das Substrat erfolgt,
- Aufbringung einer Flüssigkeit auf eine Fläche des Substrates,
- Rotation des Substrates, so dass die Flüssigkeit gemäß einer erwünschten Verteilung radial verteilt wird.

#### Revendications

1. Procédé de distribution d'un liquide visqueux sur une surface d'un substrat, comprenant les étapes consistant à :
- placer un substrat sensiblement horizontal sur un support
  - appliquer un liquide visqueux sur une surface dudit substrat
  - faire tourner le substrat pour distribuer le liquide radialement vers l'extérieur et
  - conditionner le liquide sur le substrat thermiquement, pour influencer sa viscosité localement d'une manière spécifique en créant un gradient de température localement sélectif ;
  - ledit conditionnement thermique étant réalisé par une source thermique de chaleur ou de froid placée au-dessus de la surface du substrat et en dirigeant un flux de gaz chauffé ou refroidi ou par une source de rayonnement électromagnétique vers le substrat.
2. Procédé selon la revendication 1, dans lequel la source de rayonnement est une lampe avec des spectres sensiblement visibles ou un émetteur de rayonnement infrarouge.
3. Procédé selon la revendication 1, dans lequel la source thermique comprend au moins deux sources secondaires.
4. Procédé selon la revendication 3, dans lequel les sources secondaires sont dirigées vers des positions différentes par rapport au rayon sur le substrat.

5. Appareil de conditionnement thermique d'un liquide sur un substrat en rotation comprenant :
- un support rotatif,  
des moyens de distribution d'un liquide à distribuer sur la surface du substrat et  
des moyens de fixation d'au moins une source thermique placée au-dessus du substrat ;  
ladite source thermique choisie pour créer un gradient de température localement sélectif ;  
ladite source thermique étant un flux de gaz chaud ou froid, ou un parmi au moins une source de rayonnement, une lampe, un émetteur de rayonnement infrarouge.
6. Appareil selon la revendication 5, dans lequel les moyens de fixation comprennent une enveloppe, s'étendant au-dessus d'au moins une partie du support.
7. Appareil selon la revendication 5, dans lequel les moyens de fixation sont construits comme un bras, s'étendant au-dessus d'au moins une partie du support.
8. Appareil selon la revendication 5, dans lequel les moyens de distribution sont fixés mécaniquement aux moyens de fixation.
9. Appareil selon la revendication 5, dans lequel les moyens de fixation sont mobiles par rapport au substrat et au support afin de retirer le bras au moins pendant le chargement et le déchargement du substrat.
10. Procédé de distribution d'un liquide visqueux sur une surface d'un substrat, comprenant les étapes consistant à :
- faire tourner le substrat sur un support sensible-ment horizontal et  
conditionner le substrat thermiquement, localement spécifique en créant un gradient de température localement sélectif  
ledit conditionnement thermique étant réalisé par une source thermique de chaleur ou de froid placée au-dessus de la surface du substrat et en dirigeant un flux de gaz chauffé ou refroidi vers le substrat.  
appliquer un liquide sur une surface dudit substrat  
faire tourner le substrat de sorte que le liquide soit radialement distribué selon une distribution souhaitée.

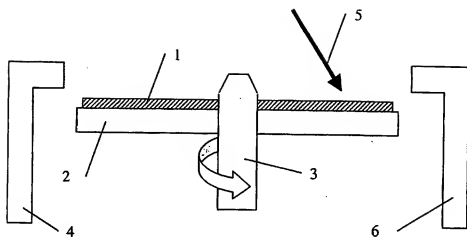


Fig. 1

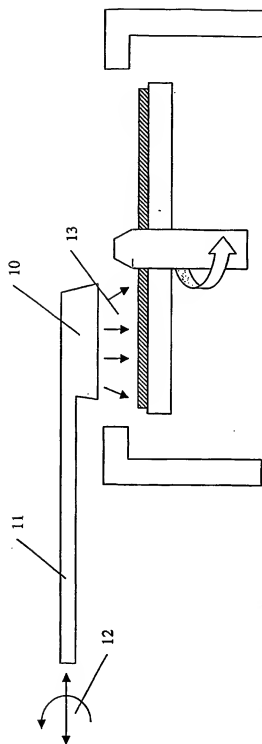


Fig. 2



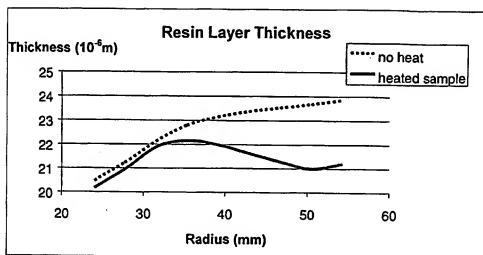


Fig. 3

**REFERENCES CITED IN THE DESCRIPTION**

*This list of references cited by the applicant is for the reader's convenience only. It does not form part of the European patent document. Even though great care has been taken in compiling the references, errors or omissions cannot be excluded and the EPO disclaims all liability in this regard.*

**Patent documents cited in the description**

- US 6242044 B1 [0007]